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on August 24, 2006

Glenn P. Ladwig, Patent Attorney

INFORMATION DISCLOSURE STATEMENT Examining Group 1645 Patent Application Docket No. C&R-100 Serial No. 10/531,751

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner

(not yet assigned)

Art Unit

1645

Applicants

Richard Joseph Fagan, Christopher Benjamin Phelps, Tania Maria Rodrigues,

Christine Power, Jadwiga Bienkowska

Serial No.

10/531,751

Filed

April 18, 2005

Conf. No.

6010

For

Alpha Macroglobulin Protein Family Members

MS AMENDMENT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§1.97 AND 1.98

Sir:

The patent application referred to above was filed in the U.S. Patent Office as a national application under 35 U.S.C. §371. The applicants have been notified that a copy of the International Search Report has been made available in the national stage file as indicated on the Notification of Acceptance of the Application under 35 U.S.C. §371 (Form PCT/DO/EO/903). The Notification does not indicate whether copies of the references cited in the International Search Report were received by the Patent Office. Therefore, copies of the references cited in the International Search Report are enclosed for the Examiner's convenience.

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The applicants have also listed on form PTO/SB/08 numerous references which have not been cited in the International Search Report (citations R5-R27). Copies of these references are also enclosed with this IDS.

In accordance with 37 C.F.R. §1.56, the applicants hereby request that the references cited in the International Search Report and listed on the attached form PTO/SB/08 be made of record and considered in the examination of the subject application.

The applicants respectfully assert that the substantive provisions of 37 C.F.R. §§1.97 and 1.98 are met by the foregoing statement.

Respectfully submitted,

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GPL/mv

Attachments: Form PTO/SB/08 (4 pages); copies of cited references

AUG 2 8 2006

PTO/SB/08A (08-03) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Complete if Known Substitute for form 1449A/PTO **Application Number** 10/531,751 INFORMATION DISCLOSURE **Filing Date** April 18, 2005 STATEMENT BY APPLICANT First Named Inventor Richard Joseph Fagan (use as many sheets as necessary) **Art Unit** 1645 **Examiner Name** of **Attorney Docket Number** Sheet 1 4 C&R-100

			U.S. PATENT DO	OCUMENTS	
Examiner	Cite	Document Number	Publication Date	Name of Patentee or Applicant	Pages, Columns, Lines, Where
Initials*	No. 1	Number - Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	of Cited Document	Relevant Passages or Relevant Figures Appear
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	FOREIGN PATENT DOCUMENTS							
		Foreign Patent Document	5.15.5.5.	Name of Patentee or	Pages, Columns, Lines,			
Examiner	Cite		Publication Date MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear			
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	e for form 1449B/PTC		001105	Application Number	10/531,751	
	RMATION D			Filing Date	April 18, 2005	
STAT	<b>TEMENT BY</b>	APF	PLICANT	First Named Inventor	Richard Joseph Fagan	
				Group Art Unit	1645	
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Sheet	2	of	4	Attorney Docket Number	C&R-100	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. 1	Include name of the author (in CAPITAL LETTERS), title of the article, (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
	R1	DEVRIENDT, K. et al. "Primary structure of pregnancy zone protein molecular cloning of a full-length PZP complementary DNA clone by the polymerase chain reaction" <i>Biochimica et Biophysica Acta</i> , 1991, 1088(1):95-103.	
	R2	KAN, C-C. et al. "Nucleotide sequence of cDNA encoding human alpha2-macroglobulin and assignment of the chromosomal locus" <i>Proc. Natl. Acad. Sci. USA</i> , 1985, 82(8):2282-2286.	
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-	R5	ASAMI, T. et al. "Study on proteinase-inhibiting capacity of plasma α₂-macroglobulin in idiopathic nephritic syndrome"	
	R6	BHATIA, M. et al. "Complement factor C5a exerts an anti-inflammatory effect in acute pancreatitis and associated lung injury" Am. J. Physiol. Gastrointest. Liver Physiol., 2001, 280:G974-G978.	
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	R8	EGGERTSEN, G. et al. "Sequence of rat α <sub>1</sub> -macroglobulin, a broad-range proteinase inhibitor from the α-macroglobulin-complement family" <i>Mol. Biol. Med.</i> , 1991, 8:287-302.	
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	R10	GENNARO, R. et al. "C5a fragment of bovine complement" Eur. J. Biochem., 1986, 155:77-86.	
- <sub></sub>	R11	GHEBREMEDHIN, E. et al. "Genetic association of argyrophilic grain disease with polymorphisms in alpha-2 macroglobulin and low-density lipoprotein receptor-related protein genes" Neuropath. App. Neurobiol., 2002, 28:308-313.	
	R12	HONG, T. et al. "A study on the serum pregnancy zone protein levels in pregnant women and patients with gynecological tumors" Chinese Med. J., 1994, 107:910-914.	
	R13	KÖHL, J. "Anaphylatoxins and infectious and non-infectious inflammatory diseases" <i>Mol. Immunology</i> , 2001, 38:175-187.	

Examiner	Date	
Signature	Considered	

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Sheet	3	of	4	Attorney Docket Number	C&R-100

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	R14	LIN, M. et al. "Cell surface antigen CD109 is a novel member of the α <sub>2</sub> macroglobulin/C3, C4, C5 family of thioester-containing proteins" <i>Blood</i> , 2002, 99:1683-1691.	
	R15	NAGASE, H. et al. "Evidence for a thiol ester in duck ovostatin (ovomacroglobulin)" J. Biol. Chem., 1986, 261:1421-1426.	1
	R16	NICOLETTI, G. et al. "No evidence of association between the alpha-2 macroglobulin gene and Parkinson's disease in a case-control sample" Neurosci. Letters, 2002, 328:65-67.	
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	R18	O'BARR, S. and COOPER, N. "The C5a complement activation peptide increases IL-1β and IL-6 release from amyloid-β primed human monocytes: implications for Alzheimer's disease"	
·	R19	OGATA, R. et al. "Sequence of the gene for murine complement component C4" J. Biol. Chem., 1989, 264:16565-16572.	
	R20	PETERSEN, C. "α₂-macroglobulin and pregnancy zone protein" <i>Danish Med. Bull.</i> , 1993, 40:409-446.	
	R21	QAZI, U. et al. "On the structural changes of native human α₂-macroglobulin upon proteinase entrapment" J. Biol. Chem., 1998, 273:8987-8993.	
	R22	RAMOS, A. et al. "Trypanosoma cruzi: cruzipain and membrane-bound cysteine proteinase isoform(s) interacts with human α <sub>2</sub> -macroglobulin and pregnancy zone protein" Exper. Parasitology, 2002, 100:121-130.	
	R23	SANDOVAL, A. et al. "Distal recognition site for classical pathway convertase located in the C345C/Netrin module of complement component C5" J. Immunology, 2000, 165:1066-1073.	
	R24	SHERNAN, S. and COLLARD, C. "Role of the complement system in ischaemic heart disease" <i>BioDrugs</i> , 2001, 15:595-607.	
	R25	SOTTRUP-JENSEN, L. "α-macroglobulins: structure, shape, and mechanism of proteinase complex formation" <i>J. Biol. Chem.</i> , 1989, 264:11539-11542.	
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	R27	ZAPPIA, M. et al. "Genetic association of α <sub>2</sub> -macroglobulin polymorphisms with AD in southern Italy" Neurology, 2002, 59:756-758.	
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